

## Genetic Risk for Schizophrenia, Obstetric Complications, and Adolescent School Outcome: Evidence for Gene-Environment Interaction

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**Low birth weight (LBW) and hypoxia are among the environmental factors most reliably associated with schizophrenia; however, the nature of this relationship is unclear and both gene-environment interaction and gene-environment covariation models have been proposed as explanations. High-risk (HR) designs that explore whether obstetric complications differentially predict outcomes in offspring at low risk (LR) vs HR for schizophrenia, while accounting for differences in rates of maternal risk factors, may shed light on this question. This study used prospectively obtained data to examine relationships between LBW and hypoxia on school outcome at age 15–16 years in a Finnish sample of 1070 offspring at LR for schizophrenia and 373 offspring at HR for schizophrenia, based on parental psychiatric history. Controlling for offspring sex, maternal smoking, social support, parity, age, and number of prenatal care visits, HR offspring performed worse than LR offspring across academic, nonacademic, and physical education domains. LBW predicted poorer academic and physical education performance in HR offspring, but not in LR offspring, and this association was similar for offspring of fathers vs mothers with schizophrenia. Hypoxia predicted poorer physical education score across risk groups. Rates of LBW and hypoxia were similar for LR and HR offspring and for offspring of fathers vs mothers with schizophrenia. Results support the hypothesis that genetic susceptibility to schizophrenia confers augmented vulnerability of the developing brain to the effects of obstetric complications, possibly via epigenetic mechanisms.**

*Key words:* low birth weight/hypoxia/high risk/academic/physical education

### Introduction

Schizophrenia is a neurodevelopmental disorder that stems from altered brain developmental processes beginning as early as the first or second trimester in utero.<sup>1</sup> Although frankly psychotic symptoms do not generally emerge until late adolescence, subtler behavioral problems are often evident much earlier. Individuals who later manifest the syndrome often show premorbid deficits in motor, social, intellectual, and academic functioning,<sup>2–5</sup> and some offspring of parents with schizophrenia show a constellation of similar deficits that is predictive of later psychosis.<sup>6–8</sup> Progress in understanding the pathways through which various etiologic factors disrupt brain development in schizophrenia has been slow. However, studying risk factors for schizophrenia and how they relate to its developmental precursors may shed light on these pathways.

Schizophrenia is determined multifactorially by genetic and environmental factors,<sup>9</sup> and at least some cases are thought to result from the interaction of environmental factors with genetic risk.<sup>10</sup> Pregnancy and delivery complications are among the environmental factors most frequently associated with schizophrenia. Meta-analyses report pooled odds ratios for exposure to obstetric complications on schizophrenia likelihood to be around 2.0, indicating that individuals with schizophrenia are about twice as likely to have experienced obstetric complications compared with healthy controls.<sup>11,12</sup> However, most individuals exposed to obstetric complications do not develop schizophrenia.<sup>13</sup> Thus, obstetric complications appear insufficient to cause schizophrenia, raising the question of whether genetic

risk for schizophrenia results in a developing brain that is more vulnerable to insult via obstetric complications.

If obstetric complications and genetic risk for schizophrenia interact to affect development, obstetric complications should differentially predict neurobehavioral outcomes in individuals at high risk (HR) for the illness (ie, having 1 or 2 parents with schizophrenia) vs those at low risk (LR; ie, having parents with no psychiatric illnesses). Early studies using this design reported that obstetric complications predicted motor impairments in HR but not in LR individuals at age 10<sup>7</sup> and interacted with genetic risk to predict ventricular enlargement, such that the relationship between birth complications and ventricular enlargement was greater in individuals with 2 vs 1 affected parent and greater in individuals with one vs no affected parents.<sup>14,15</sup> Although these studies provided intriguing evidence that individuals at genetic risk for schizophrenia may be more sensitive to the effects of obstetric complications, they were limited by small samples of individuals exposed to obstetric complications and did not control for variables such as maternal risk behaviors, social factors, and prenatal care that may differentiate HR and LR offspring and potentially contribute to poorer outcomes in offspring of parents with schizophrenia.<sup>16</sup> Clarke et al.<sup>17</sup> also reported recently that the difference in risk for later development of schizophrenia between siblings exposed vs not exposed to prenatal infection was 5 times larger in HR compared with LR offspring. In contrast to these studies, Goldstein et al.<sup>18</sup> found no interaction of genetic risk with hypoxia-ischemia on intelligence at age 7 after controlling for maternal age, ethnicity, socioeconomic status, and sex. Further, rates of obstetric complications are often higher in the obstetric histories of offspring of mothers with schizophrenia,<sup>16,18–20</sup> raising the possibility that obstetric complications themselves could be associated with susceptibility genes for schizophrenia, as in a gene-environment covariation model. Obstetric complications could therefore be markers for schizophrenia risk rather than causal factors in its etiologic pathway.<sup>21</sup> In light of these findings, additional studies that examine whether genetic risk modulates the relationship between obstetric complications and outcome are needed in larger samples, after accounting for group differences in risk factors and obstetric complications.

Low birth weight (LBW)<sup>22–25</sup> and hypoxia-related complications<sup>26,27</sup> have been found more frequently in the obstetric histories of schizophrenia patients than control subjects and are among the specific obstetric complications most reliably associated with schizophrenia.<sup>12,25,28,29</sup> This study therefore used prospectively obtained data to examine how LBW and hypoxia related to school outcome at 15–16 years in one of the largest studies to date of offspring at HR vs LR for schizophrenia. Potential group differences in rates of LBW and hypoxia were assessed to examine differential predictions of the gene-environment

interaction vs covariation models, and maternal risk factors were assessed to explore if these contributed to relationships among genetic risk, obstetric complications, and outcome. We hypothesized that HR offspring would show poorer school performance than LR offspring and that HR offspring who experienced obstetric complications would show the poorest performance overall. The gene-environment interaction model would predict that differential relationships between obstetric complications and school outcome would exist independent of group differences in rates of LBW or hypoxia; conversely, the gene-environment covariation model would predict that the HR offspring would experience higher rates of LBW and/or hypoxia and that differential relationships between obstetric complications and outcome for HR vs LR offspring would not exist after accounting for this.

## Methods

### *Ascertainment of Study Cases*

The study was a registry-based, records-coding study with no direct contact with subjects. Study protocol was approved by the Institutional Review Boards of the Finnish National Public Health Institute and the University of California, Los Angeles. Study cohort consisted of individuals born in Finland between January 2, 1987 and December 31, 1993 identified as LR or HR for schizophrenia based on parental psychiatric history. HR subjects were ascertained by searching the Hospital Discharge Register for all men and women with schizophrenia diagnoses and crossing their social security numbers with the Finnish Perinatal Register to identify children born to these individuals between 1987 and 1993. The Hospital Discharge Register records diagnoses for all hospital admissions in Finland and was searched from 1969 to 2006. Finnish citizens have access to free psychiatric care and approximately 90% of Finnish individuals with schizophrenia have been hospitalized at least once.<sup>30,31</sup> For admissions before 1987, schizophrenia was identified as an *International Classification of Diseases, Eighth Revision* (ICD-8) diagnosis under 295; for admissions between 1987 and 1993, schizophrenia was identified as an ICD-9, Finnish version, diagnosis under 295, and for admissions between 1994 and 2006, schizophrenia was identified as an ICD-10 diagnosis under F21, F22, or F25. As the Hospital Discharge Register was searched for diagnoses of schizophrenia beyond the years of the births of HR offspring included in the study, some mothers and fathers with schizophrenia may have experienced their first hospitalization for psychosis and/or psychotic episode after the birth of offspring included in the study. LR subjects were ascertained at a ratio of approximately 3 LR:1 HR subject from children born at the same hospitals during these years to mothers and fathers with no psychiatric diagnoses in the Hospital Discharge Register. LR subjects were selected to be as close in gender, date of birth, and

birth hospital to the identified HR offspring as possible. Offspring were included in the study if they were born in the Helsinki area (Helsinki-Espoo-Kauniainen-Vantaa) and had data available from schools in the Helsinki area. This resulted in the identification of 373 HR offspring (171 females) and 1070 LR offspring (552 females) from 107 schools in the Helsinki area. Ten LR offspring (1% of LR subjects) and 136 HR offspring (36% of HR subjects) shared a parent with another subject in the study. Of these subjects, 139 shared both mother and father with their included sibling(s); the remaining 7 subjects shared only a mother with a sibling in the study. No subjects shared only a father. Of the HR offspring, 173 had a father with schizophrenia, 197 had a mother with schizophrenia, and 3 had both a mother and father with schizophrenia. The limited number of HR subjects with both a father and mother with schizophrenia prohibited exploration of these subjects as a separate group. These subjects were grouped with offspring of mothers with schizophrenia for any analyses comparing offspring of fathers vs mothers with schizophrenia, as risk factors would be expected to be most severe in mothers with schizophrenia.<sup>16</sup>

#### *Pregnancy and Delivery Records*

In the Finnish health care system, expectant mothers access complete examinations throughout pregnancy at Well Mother-Baby Clinics during which midwives and obstetricians fill out standard forms noting prenatal maternal and fetal health. At delivery, prior to 1990, Finnish hospitals used medical record sheets to document delivery and postnatal health information for the mother and infant. From January 1, 1990, onward, delivery and postnatal health information were recorded in a computerized perinatal registry that included many of the variables recorded in the paper records and some unique variables. A standardized coding form was used to transcribe prenatal and perinatal fetal and maternal health information for this study.

#### *Low Birth Weight*

Subjects were identified as LBW if they were less than 2500g at birth, as defined by the World Health Organization.

#### *Hypoxia*

Prior to 1990, hypoxia was based on ICD-9 diagnoses under intrauterine hypoxia and birth asphyxia (768) in the medical record sheets, and it included diagnoses of mild-to-moderate birth asphyxia (768.6), severe birth asphyxia (768.5), fetal distress first noted during labor and delivery in liveborn infant (768.3), fetal distress unspecified as to time of onset in liveborn infant (768.4), and fetal distress affecting management of mother (656.3). From 1990, onward, information regarding hypoxia was

available in the computerized registry based on a specific item for hypoxia coded yes/no by the attending physician. Additionally, beginning September 28, 1990, information on arterial cord pH at delivery was available. Subjects with arterial cord pH values below 7.2 were also identified as having a history of hypoxia.<sup>32</sup>

#### *Maternal Risk Factors*

Number of Well Mother-Baby Clinic visits, maternal age, parity, smoking, and social support were also examined. Maternal smoking was coded according to the presence or absence of smoking during pregnancy. Social support was coded according to the presence or absence of a partner that the mother was either living with or married to during pregnancy.

#### *School Records*

In Finland, the compulsory education program for children is a 9-year comprehensive curriculum that begins the year children have their seventh birthday. Mandatory curriculum consists of Finnish, Swedish, one foreign language, history, religion, mathematics, biology, geography, physics, chemistry, music, art, home economics, handiwork, and physical education. Grading is standardized across schools using national guidelines and ranges from 4 (fail) to 10 (excellent). Grades are assigned by relevant subject teachers and are intended to be nationally comparable. Numeric grades in this study were for mandatory subjects in the certificates of graduation when pupils were 15–16 years of age.

#### *Statistical Analyses*

Statistical analyses were conducted using Predictive Analytics Software (PASW) and Statistical Analysis System (SAS). A principal component analysis was conducted to reduce the 15 school subjects to a smaller number of underlying dimensions. The criterion for significant loading by individual school subject was set at 0.5. The criterion for significant communality value was set at 0.5. On the first iteration, physical education did not meet the communality value criterion, so it was removed, and the principal component analysis was re-run. The final solution yielded 2 factors with eigenvalues greater than 1.0: an academic and a nonacademic factor. School subjects that loaded onto each factor are listed in [table 1](#). Grades for subject areas that loaded on each factor were summed and divided by the number of subject areas per factor to create average academic and nonacademic scores. Physical education was analyzed separately.

Potential differences in offspring gestational age and birth weight, number of prenatal care visits, maternal age, and parity for LR vs HR offspring, and offspring of fathers vs mothers with schizophrenia were examined using independent samples *t* tests. Differences in offspring sex, maternal smoking, and social support were

**Table 1.** Principal Components Analysis of School Subject Grades (With Varimax Rotation)<sup>a</sup>

School Subjects	Factor 1 (Academic)	Factor 2 (Nonacademic)
Finnish	<b>.728</b>	.456
Swedish	<b>.781</b>	.369
Foreign language	<b>.762</b>	.162
Math	<b>.829</b>	.253
Biology	<b>.802</b>	.370
Geography	<b>.804</b>	.368
Physics	<b>.842</b>	.270
Chemistry	<b>.809</b>	.360
History	<b>.825</b>	.259
Religion	<b>.738</b>	.419
Music	.255	<b>.676</b>
Art	.169	<b>.771</b>
Housekeeping	.387	<b>.685</b>
Handiwork	.275	<b>.657</b>

<sup>a</sup>Bold face type indicates variables with loadings greater than 0.5.

examined using chi-square frequency tests. Rates of LBW and hypoxia between LR and HR offspring, and offspring of fathers vs mothers with schizophrenia, were compared using chi-square frequency tests.

We assessed the dependence of each of the school variables (ie, academic, nonacademic, and physical education score) on genetic risk and LBW, or genetic risk and hypoxia, in separate sets of parallel analyses using multilevel modeling. Multilevel modeling with school and family entered as random variables, and family nested within school, was chosen over MANOVA to adjust for

correlations between observations from siblings and to more accurately model the hierarchical nature of the data where subjects were nested within families, and families were nested within schools. Genetic risk, birth weight group, and their interaction, or genetic risk, hypoxia group, and their interaction, were entered as fixed effects in their respective models. In cases where the interaction term was not significant, it was removed and the model was re-run. To control for the effects of potential confounders, this procedure was repeated with offspring sex, maternal smoking, social support, parity, age, and number of prenatal care visits included in the models. Offspring sex, maternal smoking status, and social support status were entered as fixed effects; parity, maternal age, and number of prenatal care visits were entered as continuous effects.

Following results of the initial analyses, additional multilevel models were conducted within HR subjects only to explore if dependence of academic and physical education scores on LBW interacted with parent source of risk (ie, whether the father or mother had schizophrenia). Parent source of risk, birth weight group, and their interaction were entered as fixed effects. The covariates were similarly included in the models. An  $\alpha$  level of 0.05 was used to determine significance for all tests.

**Results**

*Demographic and Obstetric Characteristics*

Table 2 shows the demographic characteristics and rates of LBW and hypoxia for LR offspring and for HR

**Table 2.** Demographic and Obstetric Characteristics for Low-Risk (LR) Offspring and High-Risk (HR) Offspring Stratified by Parent Source of Schizophrenia (SZ)<sup>a</sup>

	LR Offspring		HR Offspring— Father With SZ		HR Offspring— Mother With SZ		LR vs HR Offspring		HR Offspring— Father vs Mother With SZ	
	Mean (SD) or %	n	Mean (SD) or %	n	Mean (SD) or %	n	t or $\chi^2$	P	t or $\chi^2$	P
Child characteristics										
Male	48.40	1070	57.8	173	51.0	200	3.65	.06	1.73	.19
Gestational age	39.77 (1.83)	1061	39.87 (2.10)	171	39.64 (1.90)	199	0.21	.84	1.13	.26
Weight at birth (g)	3511.82 (561.69)	1063	3484.01 (613.83)	171	3440.93 (576.55)	199	1.48	.14	0.70	.49
Mother characteristics										
Age at birth <sup>b</sup>	29.53 (4.93)	1070	28.43 (5.36)	173	28.74 (5.75)	200	3.07	<b>.002</b>	0.53	.60
Parity	0.77 (.94)	1059	0.63 (.77)	172	0.80 (.99)	198	1.05	.30	1.83	.07
Well-Mother Baby Clinic visits	15.42 (4.14)	1051	15.44 (4.22)	172	15.22 (4.42)	196	0.39	.70	0.47	.64
Maternal smoking during pregnancy <sup>b,c</sup>	19.8	1034	22.0	168	43.1	197	27.85	<b>&lt;.001</b>	18.18	<b>&lt;.001</b>
Social support during pregnancy <sup>b</sup>	90.6	1070	87.3	173	81.0	200	12.33	<b>&lt;.001</b>	2.71	.10
Rates of obstetric complications										
Low birth weight (LBW)	4.0	1070	5.2	173	3.5	200	0.52	.82	0.66	.42
Hypoxia	8.1	1070	11.6	173	10.0	200	2.317	.13	0.24	.63

<sup>a</sup>Bold face type indicates significant test statistic.

<sup>b</sup>Significant difference between LR and HR offspring.

<sup>c</sup>Significant difference between offspring of father SZ vs mother SZ.

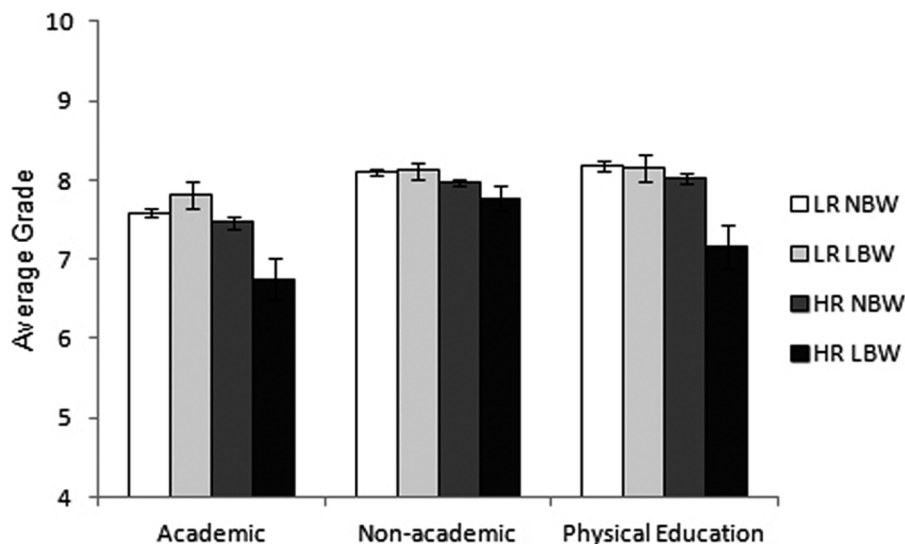
**Table 3.** Dependence of School Outcome Scores on Genetic Risk and Low Birth Weight (LBW) Status<sup>a</sup>

	Academic			Nonacademic <sup>b</sup>			Physical Education		
	<i>F</i>	<i>df</i>	<i>P</i>	<i>F</i>	<i>df</i>	<i>P</i>	<i>F</i>	<i>df</i>	<i>P</i>
Without potential confounders									
Genetic risk	18.07	1,47	<b>&lt;.001</b>	23.21	1,47	<b>&lt;.001</b>	15.36	1,47	<b>&lt;.001</b>
LBW	1.51	1,47	.23	0.03	1,47	.87	6.69	1,47	<b>.01</b>
Genetic risk × LBW	8.26	1,47	<b>.006</b>	—	—	—	5.54	1,47	<b>.02</b>
With potential confounders <sup>c</sup>									
Genetic risk	15.49	1,37	<b>&lt;.001</b>	12.92	1,37	<b>&lt;.001</b>	12.15	1,37	<b>.001</b>
LBW	2.58	1,37	.12	0.12	1,37	.73	6.85	1,37	<b>.01</b>
Genetic risk × LBW	9.59	1,37	<b>.004</b>	—	—	—	6.33	1,37	<b>.02</b>

<sup>a</sup>Bold face type indicates significant test statistic.

<sup>b</sup>Test statistics presented from the simplified model after removing the nonsignificant genetic risk × LBW interaction term.

<sup>c</sup>Controlling for main effects of offspring sex, maternal smoking status, social support status, parity, age, and number of Well Mother-Baby Clinic visits.



**Fig. 1.** Mean  $\pm$  SE average school outcome scores for offspring at low risk (LR) or high risk (HR) for schizophrenia with normal birth weight (NBW) or low birth weight (LBW).

offspring stratified by parent source of risk. Between LR and HR offspring, there were significant differences in maternal age, smoking, and social support, and a near significant difference in sex distribution. Between offspring of fathers vs mothers with schizophrenia, there was a significant difference in maternal smoking. There were no significant differences in rates of LBW or hypoxia between LR vs HR offspring and/or offspring of fathers vs mothers with schizophrenia.

#### *Dependence of School Outcomes on Genetic Risk and LBW*

Results of the multilevel models testing the dependence of academic, nonacademic, and physical education score on genetic risk and LBW are shown before and after controlling for the covariates in table 3 and are depicted in

figure 1. In the model for academic score, there was a significant effect of genetic risk, with HR subjects performing worse than LR subjects, and a significant interaction of genetic risk with birth weight group. Follow-up tests revealed that HR subjects with LBW performed significantly worse than HR subjects without LBW, ( $\beta = -0.68$ ,  $se = 0.28$ ,  $P = .016$ ); conversely, there was no significant effect of LBW in LR subjects ( $\beta = 0.27$ ,  $se = 0.17$ ,  $P = .11$ ). In the model for nonacademic score, the interaction between genetic risk and birth weight group was not significant ( $F(1,47) = 1.95$ ,  $P = .17$ ), so the model was rerun with the interaction term removed. The simplified model showed a significant effect of genetic risk, with HR subjects performing worse than LR subjects, and no effect of LBW. In the model for physical education score, there were significant main effects of genetic risk and birth weight group and a significant interaction of genetic risk

**Table 4.** Dependence of School Outcome Scores on Genetic Risk and Hypoxia Status<sup>a</sup>

	Academic <sup>b</sup>			Nonacademic <sup>b</sup>			Physical Education <sup>b</sup>		
	<i>F</i>	<i>df</i>	<i>P</i>	<i>F</i>	<i>df</i>	<i>P</i>	<i>F</i>	<i>df</i>	<i>P</i>
Without potential confounders									
Genetic risk	15.40	1,47	<b>&lt;.001</b>	22.98	1,47	<b>&lt;.001</b>	18.08	1,47	<b>&lt;.001</b>
Hypoxia	0.59	1,47	.44	0.53	1,47	.47	4.22	1,47	<b>.046</b>
Genetic risk × hypoxia	—	—	—	—	—	—	—	—	—
With potential confounders <sup>c</sup>									
Genetic risk	6.94	1,37	<b>.01</b>	12.85	1,37	<b>.001</b>	7.85	1,37	<b>.008</b>
Hypoxia	1.24	1,37	.27	0.07	1,37	.79	4.64	1,37	<b>.038</b>
Genetic risk × hypoxia	—	—	—	—	—	—	—	—	—

<sup>a</sup>Bold face type indicates significant test statistic.

<sup>b</sup>Test statistics presented from the simplified model after removing the nonsignificant genetic risk × hypoxia interaction term.

<sup>c</sup>Controlling for main effects of offspring sex, maternal smoking status, social support status, parity, age, and number of Well Mother-Baby Clinic visits.

with birth weight group. HR subjects performed worse than LR subjects, and LBW subjects performed worse than subjects without LBW. However, tests of simple effects within each genetic group revealed that LBW predicted poorer physical education scores within HR offspring, ( $\beta = -0.82$ ,  $se = 0.28$ ,  $P = .004$ ), but not within LR offspring, ( $\beta = -0.04$ ,  $se = 0.17$ ,  $P = .83$ ). Multilevel models with offspring sex, maternal smoking, social support, parity, age, and number of prenatal care visits included as covariates revealed the same pattern of results. Thus, the interactions between genetic risk and birth weight group remained significant: HR subjects with LBW performed significantly worse than HR subjects without LBW for academic ( $\beta = -0.73$ ,  $se = 0.26$ ,  $P = .006$ ) and physical education score ( $\beta = -0.85$ ,  $se = 0.28$ ,  $P = .002$ ). Conversely, there was no difference between LR subjects with and without LBW for academic ( $\beta = 0.23$ ,  $se = 0.16$ ,  $P = .16$ ) or physical education score ( $\beta = -0.02$ ,  $se = 0.17$ ,  $P = .91$ ). The interaction of genetic risk and birth weight group was not significant for nonacademic score ( $F(1,47) = 1.95$ ,  $P = .17$ ).

#### *Dependence of School Outcomes on Genetic Risk and Hypoxia*

The models testing the dependence of the school variables on genetic risk and hypoxia showed no significant interactions between genetic risk and hypoxia group for academic ( $F(1,47) = 0.33$ ,  $P = .57$ ), nonacademic ( $F(1,47) = 0.03$ ,  $P = .85$ ), or physical education score ( $F(1,47) = 0.11$ ,  $P = .75$ ), so the models were rerun with the interaction term removed. The simplified models showed a significant effect of genetic risk across school domains and a significant effect of hypoxia for physical education score only; subjects who experienced hypoxia performed significantly worse than subjects who did not experience hypoxia ( $\beta = -0.21$ ,  $se = 0.10$ ,  $P = .046$ ). After controlling for potential confounding variables, the same pattern was evident, with no significant interactions between genetic

risk and hypoxia for any school variable ( $P_s > .05$ ); the simplified model for physical education similarly showed a main effect of hypoxia ( $\beta = -0.22$ ,  $se = 0.10$ ,  $P = .038$ ). Results before and after controlling for the covariates are shown in [table 4](#).

#### *LBW Effect Within HR Offspring by Parent Schizophrenia Group*

Models comparing offspring of fathers vs mothers with schizophrenia showed no modulation of the LBW effect on academic or physical education score by parent schizophrenia group. Thus, across HR offspring, there was a significant effect of LBW on academic and physical education score. The main effect for parent schizophrenia group and interaction of parent schizophrenia group with LBW were not significant. (See online [supplementary material](#) for [table S1](#) and [figure S1](#).)

#### **Discussion**

This study examined relationships among genetic risk for schizophrenia, obstetric complications, and adolescent school outcome using prospectively obtained data in one of the largest samples comparing developmental outcomes of LR vs HR offspring. Controlling for offspring sex, maternal smoking, social support, parity, age, and number of prenatal care visits, HR offspring performed worse than LR offspring across school domains. LBW predicted poorer academic and physical education scores in HR offspring, but not in LR offspring, and these effects were present regardless of whether offspring had a father or mother with schizophrenia. There was no interaction of hypoxia with genetic risk for any school domain; however, hypoxia predicted a subtle decrease in physical education score across groups. Similar rates of LBW and hypoxia in LR and HR offspring suggest that the relationships between LBW and school outcome in HR offspring are not accounted for by gene-environment covariation.

That HR offspring showed worse performance across school domains is consistent with findings that offspring of parents with schizophrenia show deficits across numerous domains including attention, memory, and motor skill<sup>6-8,18</sup> and that individuals who later develop schizophrenia show premorbid deficits across cognitive, motor, and social functioning.<sup>2-5,33</sup> Individuals who later develop schizophrenia also show poorer performance on tests of academic achievement<sup>4,34,35</sup> and poorer school outcomes compared with peers<sup>3,36,37</sup>; however, patterns of poor academic outcome in preschool children using school data have been less consistent than those using standardized measures, possibly due to different characteristics of education systems at different historical times.<sup>33</sup>

Outcomes of LBW infants are heterogeneous and range from severe neonatal brain injury and neurologic dysfunction to normal functioning. LBW babies are at increased risk for subnormal growth, adverse health conditions, and neurodevelopmental problems; however, the majority of LBW infants have normal outcomes.<sup>38,39</sup> Thus, studies report a downward shift in average IQ score for LBW infants relative to normal birth weight infants, but this shift is small and the majority of LBW infants score in the normal IQ range.<sup>39,40</sup> Similarly, LBW infants show excess motor problems in childhood and adolescence compared with normal birth weight infants; however, most LBW infants do not show motor problems.<sup>40-42</sup>

In light of these heterogeneous outcomes, it is notable that LBW predicted poorer academic and physical education scores in HR offspring, but not in LR offspring, despite similar rates of LBW across groups. The development of the central nervous system requires coordinated activity between an individual's genetic program and embryonic environment and is dependent on sufficient glucose and oxygen supply via the uteroplacental unit. LBW provides little information about the specific factors that disrupt development in utero. Nevertheless, it is widely associated with conditions of altered uteroplacental flow such as maternal malnutrition, smoking, and preeclampsia,<sup>43-45</sup> as well as increased incidence of neonatal complications that involve deprivation of oxygen and other substrates, including hypoxia, ischemia, and respiratory distress syndrome.<sup>46-48</sup> That LBW predicted poorer school outcomes in HR offspring, but not in LR offspring, is consistent with a gene-environment interaction model and may reflect altered epigenetic modulation of brain development following early deprivation of key substrates such as glucose and oxygen. Thus, obstetric complications have been suggested to alter gene expression relevant to neurodevelopmental outcomes,<sup>43,49</sup> and insufficient availability of such substrates has been shown to initiate signaling cascades that alter gene expression for vascular and metabolic function.<sup>43,50,51</sup> Interestingly, Schmidt-Kastner et al.<sup>52</sup> reported that over half the genes identified as candidate schizophrenia genes are regulated by hypoxia-ischemia and/or are expressed in the cerebrovasculature.

In line with this, Nicodemus et al.<sup>53</sup> reported that schizophrenia patients who experienced serious obstetric complications showed distorted transmission of allele variations in several hypoxia-regulated candidate genes for schizophrenia, and Joo et al.<sup>54</sup> reported that allelic variations in the hypoxia-regulating gene *AKT-1* was associated with severity of obstetric complications in female schizophrenia patients. Structural brain abnormalities such as decreased hippocampal and gray matter volume and increased ventricular volumes that are reliably found in patients with schizophrenia<sup>55</sup> are augmented in patients who have histories of obstetric complications.<sup>56-58</sup> That similar augmentations of abnormality in these structures have been found in the unaffected siblings,<sup>56,59</sup> twins,<sup>57</sup> and offspring of schizophrenia patients with histories of obstetric complications,<sup>14,15,60,61</sup> in the absence of parallel relationships for control subjects,<sup>14,15,56,58</sup> suggest that genes carried by individuals at risk for schizophrenia may lead to less adaptive epigenetic programming in response to glucose or oxygen deprivation during early prenatal or neonatal life.

Given the theoretical emphasis placed on hypoxia in the relationship between obstetric complications and schizophrenia, it is surprising that hypoxia was not associated with academic scores in HR subjects and only predicted subtle decreases in physical education score across risk groups. Individuals with a history of perinatal hypoxia often show impairments in motor, cognitive, and school performance; however, some show differential deficits in cognitive vs motor functioning, and many show no deficits at all.<sup>62-64</sup> That hypoxia was associated with only subtle impairment in physical education performance and not with academic performance in this study is consistent with this variable picture of outcome and may also reflect the greater difficulty of identifying hypoxia. In contrast to birth weight, which is accurately and routinely assessed, hypoxia is inferred from a variety of obstetric indicators such as Apgar scores, acidosis, abnormal electroencephalography (EEG), and neurological anomalies in early postnatal life. Thus, as reflected by the wide variation in definitions of hypoxia used across studies (eg, <sup>18,56,62-64</sup>), accurately and reliably assessing hypoxia poses a significant challenge for physicians and researchers alike. Further, our understanding of how severity, timing, and duration of hypoxia affects outcome is lacking. In this study, we used a narrow definition that required a formal diagnosis of intrauterine hypoxia or birth asphyxia or an arterial pH value below 7.2, in part because differences in the record system for births before and after 1990 restricted which maternal and fetal health variables at delivery were available across the cohort. A different definition that incorporated broader markers of hypoxia may have yielded different results. However, having a larger sample to examine relationships among schizophrenia risk, obstetric complications, and outcome seemed of greater benefit than exploring additional

variables that have been less robustly linked to schizophrenia in a smaller sample.

Some other potential limitations to this study should be noted. First, although we controlled for numerous potentially confounding variables and subject ascertainment dictated that all subjects were from an urban area, it is possible that other risk factors that were not directly assessed, such as low socioeconomic status, could have modulated the differential relationship between LBW and outcome in HR vs LR offspring. Evidence that social risk amplifies negative effects of LBW on neurodevelopmental outcomes, however, is equivocal.<sup>39,65–68</sup> In addition, because Finnish children attend schools within their district, our inclusion of school as a random factor in all models would likely also control for some variance in social factors that vary by district. Further, Finnish state policies limit income disparity and its effects,<sup>69</sup> thereby minimizing potential contributions of social factors to differential relationships between LBW and outcome in HR and LR offspring. Second, we were only able to assess adolescent outcome using school data. Standardized measures of intellectual and motor functioning are more precise measures of neurodevelopmental outcome. However, the advantage of using school data was the opportunity to assess how long-term outcomes related to prospectively collected obstetric data in a large cohort of HR offspring. Finally, genetic risk was inferred based on parental schizophrenia status, rather than modeled directly using DNA markers. Although genome-wide screening methods are now available, these approaches require extremely large sample sizes to achieve adequate power to detect genetic associations of small effect, and even larger samples to screen for interactions of genotypes by environmental risk exposures. The patterns of results detected here are consistent with gene-environment interaction, though parental schizophrenia status could also reflect nongenetic influences or gene by environment interactive influences. We modeled and ruled out a number of environmental influences (eg, maternal smoking, social support), but our ability to do so was limited to variables available through the Finnish register system. Additionally, it was not possible to explore or model potential gene by environment interactive influences inherent within schizophrenia parental status using this study design.

In summary, HR offspring performed worse across school domains than LR offspring. LBW predicted poorer academic and physical education outcomes only in HR offspring. That LBW predicted academic and physical education scores, but not nonacademic scores in HR offspring suggests that these effects are not likely due to global disengagement of these individuals from school and may indicate greater effects of LBW on brain development related to cognitive and motor function. Comparable effects of LBW in offspring of fathers vs mothers with schizophrenia in the absence of group differences in rates of obstetric

complications provides novel support for the hypothesis that susceptibility genes for schizophrenia confer augmented vulnerability of the developing brain to the detrimental effects of obstetric complications. That hypoxia did not predict academic scores and predicted only subtle decrements in physical education score likely reflects the greater challenge in reliably identifying hypoxia. Studies that continue to follow these individuals until adulthood would be beneficial to examine continuities in relationships among genetic risk, obstetric complications, school outcomes, and adult psychopathology.

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### Supplementary Material

Supplementary materials are available at <http://schizophreniabulletin.oxfordjournals.org>.

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